Relius Administration
Pending/Confirmed Issues

The following is a list of reported items corrected and issues pending correction as of 8/11/2016

Please note that the status is subject to change dependent upon acceptance/regression testing results.

Confirmed – included in specified SP
Pending –   awaiting version/SP assignment

Account Balance Update

#	Description	Version	SP	Status
110026	Plan Management Account not updating During the account balance update process	20.1	5	Confirmed
	Account balance update process completes successfully however, the beginning balances remain as zero when new funds are added to the plan	20.1	2	Confirmed

ASP

#	Description	Version	SP	Status
112269	Price file import job taking an extensive time To complete			**Pending**

Automatic Enrollment

#	Description	Version	SP	Status
112220	Error running automatic enrollment – QTSQLMAP. clsSQLDBMap.APILogError			**Pending**

Broadridge Participant Communication Bundle

#	Description	Version	SP	Status
110169	Statements are being generated for participants that have a $0 account balance with no activity in their account during the reporting period	20.1	5	Confirmed
110203	Termination distribution with loan balance not included in the export	20.1	5	Confirmed
110509	Update verbiage for the option Display Morningstar messaging to "Suppress Morningstar messaging"	21.0		Confirmed

110534	"Plan Sponsor Messaging!" for Plan Sponsor Statements text is hard-coded for Plan Sponsors but variable for Participants	21.0		Confirmed
112014	Sponsors cannot retrieve eStatements via The web			**Pending**
112236	Export for the loan balance is pulling negative interest rather than a zero balance	21.0		Confirmed
112237	Loan balance is not including payments made in advance			**Pending**
112308	Remove the loan information from the Asset Allocation and Investment Allocation if the reporting default is Trade and the BR Profile is set to Yes			**Pending**

Calculate PS Web Balances

#	Description	Version	SP	Status
112213	An issue occurs when a participant is resident in more than one plan and the 'dirty flag' is set in the secondary plan	21.0		Confirmed

Census

#	Description	Version	SP	Status
109630	Imported an updated automatic enrollment status for employees in one of our plan and census was updated for pre-tax and Roth deferrals changing them to 0%			**Pending**
110474	Loan vested balance not displaying properly in the prior year when the participant is 100% vested in all sources	21.0		Confirmed

Census DER

#	Description	Version	SP	Status
	Census DER payroll import in multiple plan STP 'error bypass' mode with a DVC rule that passes for all records in the import file was only importing the first record. This fix is available upon request for non-ASP clients. ASP environment updated on 4/8/2016	20.1	5	Confirmed

Compliance

#	Description	Version	SP	Status
109222	The header message "Test Performed With Carve Out of Excludable non-HCEs" is not printing on all reports	21.0		Confirmed
109467	Employees with a status of Inactive by Employee type are still included on the ADP test			**Pending**
110320	When running 401(a)(4) for multiple plans the gateway test Results of pass/fail were changing from one run to another	21.0		Confirmed

Distributions

Schwab Trust Payment Export

#	Description	Version	SP	Status
110526	Schwab Involuntary Rollover returning an invalid 1099 Distribution code error when the Roth portion of the distribution is coded with 'H'	21.0		Confirmed

TD Ameritrade Payment Export

#	Description	Version	SP	Status
110571	Export failing due to missing mailing address fields when exporting loan distributions	21.0		Confirmed
110615	Distribution created utilizing a recurring distribution template is not posting a correct amount			**Pending**

Eligibility

	Description	Version	SP	Status
	Posting eligibility results in a SubPerformBulkWriteNet Database write error when the employee type date and the date of hire differ **The fix for this item is now available – Please contact Support to obtain the correction. A hot fix will be installed on 6/25/2016 to ASP**	20.1	5	**Hot Fix**

Fees

#	Description	Version	SP	Status
	Fees for unrelated rollover sources and loan originations, when the method for the fee is defined as 'gross-up request amount' were not being properly backed-out	20.1	2	Confirmed

Insurance

#	Description	Version	SP	Status
110414	Unable to add insurance contracts to an existing Insurer	21.0		Confirmed
112454	Issues with adding new insurers/policies			**Pending**

Money Market Reform

#	Description	Version	SP	Status
112356	Currently a problem exists with the new money market redemption gate posting logic in multi-participant transactions where redemptions would cause gate violations for some but not all participants. The intended logic is to reject (and log) only those participants whose redemptions would violate a redemption gate yet continue to post the transaction for all non-impacted participants. However, currently the transaction will abort if any participant's redemption in the transaction would violate the gate. The posting output report will identify the gate-impacted participants that caused the transaction to abort. One work around is to manually code these participants as excluded from the transaction (such as flagging participants as excluded from fees) and then repost the transaction with the reduced transaction amount applicable to the remaining participants	21.0	1	Confirmed
	Currently a problem exists with the new money market redemption gate posting logic for transactions posted for either multiple plans (global transaction processing) or transactions posted through STP, where redemptions would cause gate violations. In either of these scenarios, the posting logic is not applying the gate logic to participants whose redemptions would violate a redemption gate. The workaround is to manually post transactions for individual plans for those plans that contain funds that have gate logic.	21.0	1	Confirmed

Omni Compliance

#	Description	Version	SP	Status
110154	An error occurs when running the ADP/ACP Nondiscrimination test with the "Re-compute statutory exclusions prior to test" option selected when the Plan type is "403(b)(7)	20.1	5	Confirmed
110028	Importing termination dates for EE status "31" is not updating plan status to Inactive.	21.0		Confirmed

Participant Loans

Plan Loan Specifications

#	Description	Version	SP	Status
110491	Remove warning message in regards to fixed interest rate required for residential loans when residential loans are not allowed by the plan	21.0		Confirmed
110584	Not allowing the user to save/add a second loan. Enter the loan information and click on save and displays the Create Loan Distribution and then stops and does not complete	21.0		Confirmed
112223	Payment not calculating when addition a new loan that utilizes a payment of weekly gap period			**Pending**
110495	Creating a loan refinance and getting message "loan balance increase exceeds the maximum available loan amount based on DOL Regulations	21.0		Confirmed
109367	Loan request not available when plan loan specifications is not set to overall plan type	20.1	5	Confirmed
105098	When setting up fee Packages to include the wire fee. Relius only grosses up for the activity fee, but not the custodial fees.			**Pending**

Refinance

#	Description	Version	SP	Status
110495	An error message, "loan balance increase exceeds the maximum available loan amount" when utilizing the DOL limits	21.0		Confirmed

Performance

#	Description	Version	SP	Status
112271	Monthly distrbutions seem to be taking longer to complete			**Pending**

Posting

Contributions

#	Description	Version	SP	Status
108892	Reporting issue only when Roth deferrals exceed a plan limit (not TW limit) – excess amount error	21.0		Confirmed
108898	Pre-tax and post-tax deferral suspension dates – when defined, posting will not block post-tax deferrals in			

	the same manner as pre-tax deferrals	21.0	Confirmed
108892	Excess amount error received on Roth contributions When there is a value in the maximum column in plan specifications – this is a reporting issue only	21.0	Confirmed

Proposal

#	Description	Version	SP	Status
110223	Census will not open for a different plan via View > Find Employee when census is already open for another plan	20.1	5	Confirmed

Rate of Return

#	Description	Version	SP	Status
110177	Database error occurred message when Null values are present in the sort name function	20.1	5	Confirmed

Reporting

#	Description	Version	SP	Status
112020	Error occurs on Census Audit when All Dates is Selected in the from or to date range			**Pending**
112192	Data is getting 'comingled' at times when running ASP or STP. We have seen this to be a problem when multiple people run different reports but the same plan at the same time			**Pending**

Batch

#	Description	Version	SP	Status
109547	An error occurs when printing ADP/ACP compliance reports through batch to Plan Sponsor Web.			**Pending**
	When printing the ADP/ACP compliance reports The reports are printing in portrait orientation regardless of the orientation settings in plan specifications			**Pending**

Contribution Analysis - Standard

#	Description	Version	SP	Status
	When attempting to generate the report, the following error: Printer mode illegal for this report occurs and then displays a run-time error 5 Invalid procedure call or argument	20.1	2	Confirmed

Query

#	Description	Version	SP	Status
110230	Rounding difference in the Query > Balances report			**Pending**

Schwab Bank & Savings – Report Writer Reports

#	Description	Version	SP	Status
110045	The Schwab Bank Savings report is not displaying the forfeitures in suspense	20.1	5	Confirmed

STP

#	Description	Version	SP	Status
112209	Model alignment task not retaining changes when removing models/marked to update only no transfers	21.0		Confirmed
112269	Price feed performance – job runs longer than Expected			**Pending**
	FTP File Transfer – job has empty parameters	20.1	4	Confirmed

Trading

#	Description	Version	SP	Status
110113	Posting multiple transfer out transactions and settling the trades at the same time will result in redemption fees not being properly calculated	21.0		Confirmed
	The following error – PlanDyn record not found would occur when exporting trades with a transaction effective date later than the most current plan year end date and therefore would not generate the trade file			**Pending**
110610	Oracle error – ORA-20500 – record X has invalid Trade.OrderSettleCd occurs when settling trades With an associated custodian fee	21.0		Confirmed

Transactions

#	Description	Version	SP	Status
110434	Notifications – DC transactions – message tip for transaction type			**Pending**
108892	A reporting issue with Roth deferrals exists when there are plan-level deferral limits set	21.0		Confirmed
108898	When both pre-tax and post-tax deferral suspension dates, post-tax deferrals are not being blocked when posting occurs	21.0		Confirmed

Transfers – Investment to Investment

#	Description	Version	SP	Status
109292	For plans with both Deferral and Roth sources, after adding the transaction ,the transaction grid 'From Acct' description displayed EE Pre Tax – would like it to pick up true source name	21.0		Confirmed
112221	Transfers posted at the same time sold 100% out of the models when less than 100% was requested			**Pending**

WMSI File Format

#	Description	Version	SP	Status
	WMS rsn part file format was incorrect. This correction is available upon request for non-ASP clients.	20.1	5	Confirmed

Workflow

#	Description	Version	SP	Status
110180/110182	While new distribution transactions are creating workflow notification events, correctly communicate with workflow, and receiving a workflow ID. Workflow ID is not being retained, or overwritten by the time/when posting occurs thereafter.	20.1	5	Confirmed
110181	Workflow ID not refreshing ASP environment updated on 4/26/2016			**Pending**
110514	RASuppressTransaction is not updating the distribution transaction with the WorkflowId/ProcessID			**Pending**
110517	RAwebAPI system is not generating logs	21.0		Confirmed
110518	Intermittently, the distribution transaction external ui mashup fails to submit. On submit, the system encounters a 404 error	21.0		Confirmed
110519	Error in browser – XMLHttpRequest cannot load			**Pending**
110520	An invalid token is being generated for 'motu' technical user			**Pending**

403(b) Contract Coordination Plan Processing

Salary Reduction Agreement (SRA) Forms

#	Description	Version	SP	Status
110279	Transaction effective date is not populating	20.1	5	Confirmed