

SunGard's Advanced Pension Conference

Loews Don CeSar Hotel, St. Pete Beach, FL – January 28-30, 2015

AGENDA**Wednesday, January 28**

8:00 - 8:30 a.m. Registration and Continental Breakfast

General Sessions8:30 - 8:45 a.m. **Welcome and Announcements**

8:45 - 10:25 a.m. **Keeping Current** – Join Ilene and Derrin for an entertaining session that highlights the latest guidance from Congress, the IRS, the DOL, and the courts. Emphasis is given to areas not covered elsewhere in the program. **Ferenczy, Watson**

10:25 - 10:45 a.m. Refreshment Break

10:45 - 12:00 p.m. **Strategies for Lost and Uncooperative Participants** **Kaplan, Watson**

12:00 - 1:15 p.m. Hosted Luncheon

General Session

1:15 - 2:15 p.m. **Washington Update** – A fast paced review of activity in Congress, the White House, and executive agencies dealing with retirement plans and tax reform. **Richter**

2:15 - 2:35 p.m. Refreshment Break

Concurrent Sessions **GROUP I:** 2:35 - 3:50 p.m. and repeated 4:00 - 5:15 p.m. Sessions are 75 minutes each. Attend **two** topics from this group.

01. Anti-cutback in Restatements **Watson**

02. New Cash Balance Regulations – Part 1 **Finnegan**

03. Rollovers: FINRA & SEC Strike Back **Pratt**

04. Avoiding TPA Firm Liability **Ferenczy**

5:15 p.m. Cocktail Reception

Thursday, January 29

8:00 - 8:30 a.m. Continental Breakfast

Concurrent Sessions 8:30 - 12:20 p.m. – Group II sessions are 75 minutes each. Group III sessions are 60 minutes each. Attend **two** topic from Group II and **two** from Group III.

GROUP II: 8:30 - 9:45 a.m. and repeated 9:55 a.m. - 11:10 a.m.

05. Understanding and Influencing Participant Behavior **Kaplan**

06. New Cash Balance Regulations – Part 2 **Finnegan**

07. TPA's Guide to Working with Brokers and Advisors **Schultz**

08. PPA Restatements: Who, What, When and Why **Richter**

11:10 - 11:20 a.m. Refreshment Break

GROUP III - Part 1: 11:20 - 12:20 p.m. and *repeated after lunch, 1:30 - 2:30 p.m., as Part 2.*

09. Taxes on After-tax Distributions and Rollovers **Watson**

10. Mid-year Changes to Safe Harbor 401(k) Plans **Pratt**

11. Preparing for IRS/DOL Audits **Ferenczy**

**12. Automatic Enrollment and Increase:
Opportunities and Perils** **Richter**

12:20 - 1:30 p.m. Hosted Luncheon

Concurrent Sessions **GROUP III - Part 2:** 1:30 - 2:30 p.m. See above for Group III session descriptions.

GROUP IV: 2:40 - 3:40 p.m. Sessions are 60 minutes each. Attend **one** topic from this group.

13. Advanced Loan and Hardship Issues **Kaplan**

14. Counting the Hours: Service and Elapsed Time **Watson**

15. Deferral Election Trouble Spots **Richter**

3:40 - 4:00 p.m. Refreshment Break

GROUP V: 4:00 - 5:00 p.m. Sessions are 60 minutes each. Attend **one** topic from this group.

16. 457 Plans, Eligible and Otherwise **Pratt**

17. Trustee vs. Participant Direction **Schultz**

18. Advanced Defined Contribution Testing Techniques **Finnegan**

Friday, January 30

8:00 - 8:30 a.m. Continental Breakfast

Concurrent Session **GROUP VI:** 8:30 - 9:30 a.m. Sessions are 60 minutes each. Attend **one** topic from this group.

19. Dealing with Scriveners Errors **Ferenczy**

20. Nondiscrimination Testing With Related Employers **Watson**

21. Top 10 Questions We Need the DOL and IRS to Answer **Kaplan**

9:30 - 9:50 a.m. Refreshment Break

General Sessions

9:50 - 10:50 a.m. **Pension Jeopardy** – Panel – Join Derrin as he hosts our version of the popular game show. Topical questions test the knowledge of our expert speakers. This session is not only fun, but also highlights important pension issues in a memorable way.

10:50 - 11:00 a.m. Refreshment Break

11:00 - 12:00 p.m. **Ask the Experts Workshop** – Panel – Get those tough questions answered. Case studies will be used in a discussion of the issues currently facing practitioners.

12:00 p.m. Adjourn